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14 **UNITED STATES DISTRICT COURT**
15 **NORTHERN DISTRICT OF CALIFORNIA**
16

17 TYRONE PENNIX,

18 Plaintiff,

19 v.

20 IAP WORLD SERVICES,

21 Defendant.

Case No. CV 12-03520 LHK

**STIPULATED PROTECTIVE ORDER FOR
STANDARD LITIGATION**

Complaint Filed: July 6, 2012
Trial Date: November 12, 2013
Judge: Hon. Lucy H. Koh

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1 1. PURPOSES AND LIMITATIONS

2 Disclosure and discovery activity in this action are likely to involve production of
 3 confidential, proprietary, or private information for which special protection from public disclosure
 4 and from use for any purpose other than prosecuting this litigation may be warranted. Accordingly,
 5 the parties hereby stipulate to and petition the court to enter the following Stipulated Protective
 6 Order. The parties acknowledge that this Order does not confer blanket protections on all
 7 disclosures or responses to discovery and that the protection it affords from public disclosure and
 8 use extends only to the limited information or items that are entitled to confidential treatment under
 9 the applicable legal principles. The parties further acknowledge, as set forth in Section 12.3, below,
 10 that this Stipulated Protective Order does not entitle them to file confidential information under
 11 seal; Civil Local Rule 79-5 and General Order 62 set forth the procedures that must be followed
 12 and the standards that will be applied when a party seeks permission from the court to file material
 13 under seal.

14 2. DEFINITIONS

15 2.1. Challenging Party: a Party or Non-Party that challenges the designation of
 16 information or items under this Order.

17 2.2. “CONFIDENTIAL” Information or Items: information (regardless of how it is
 18 generated, stored or maintained) or tangible things that qualify for protection under Federal Rule of
 19 Civil Procedure 26(c).

20 2.3. Counsel (without qualifier): Outside Counsel of Record and House Counsel (as
 21 well as their support staff).

22 2.4. Designating Party: a Party or Non-Party that designates information or items that it
 23 produces in disclosures or in responses to discovery as “CONFIDENTIAL.”

24 2.5. Disclosure or Discovery Material: all items or information, regardless of the
 25 medium or manner in which it is generated, stored, or maintained (including, among other things,
 26 testimony, transcripts, and tangible things), that are produced or generated in disclosures or
 27 responses to discovery in this matter.

28 2.6. Expert: a person with specialized knowledge or experience in a matter pertinent to

1 the litigation who has been retained by a Party or its counsel to serve as an expert witness or as a
 2 consultant in this action.

3 2.7. House Counsel: attorneys who are employees of a party to this action. House
 4 Counsel does not include Outside Counsel of Record or any other outside counsel.

5 2.8. Non-Party: any natural person, partnership, corporation, association, or other legal
 6 entity not named as a Party to this action.

7 2.9. Outside Counsel of Record: attorneys who are not employees of a party to this
 8 action but are retained to represent or advise a party to this action and have appeared in this action
 9 on behalf of that party or are affiliated with a law firm which has appeared on behalf of that party.

10 2.10. Party: any party to this action, including all of its officers, directors, employees,
 11 consultants, retained experts, and Outside Counsel of Record (and their support staffs).

12 2.11. Producing Party: a Party or Non-Party that produces Disclosure or Discovery
 13 Material in this action.

14 2.12. Professional Vendors: persons or entities that provide litigation support services
 15 (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and organizing,
 16 storing, or retrieving data in any form or medium) and their employees and subcontractors.

17 2.13. Protected Material: any Disclosure or Discovery Material that is designated as
 18 "CONFIDENTIAL."

19 2.14. Receiving Party: a Party that receives Disclosure or Discovery Material from a
 20 Producing Party.

21 3. SCOPE

22 The protections conferred by this Stipulation and Order cover not only Protected Material
 23 (as defined above), but also (1) any information copied or extracted from Protected Material; (2) all
 24 copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony,
 25 conversations, or presentations by Parties or their Counsel that might reveal Protected Material.
 26 However, the protections conferred by this Stipulation and Order do not cover the following
 27 information: (a) any information that is in the public domain at the time of disclosure to a
 28 Receiving Party or becomes part of the public domain after its disclosure to a Receiving Party as a

1 result of publication not involving a violation of this Order, including becoming part of the public
2 record through trial or otherwise; and (b) any information known to the Receiving Party prior to the
3 disclosure or obtained by the Receiving Party after the disclosure from a source who obtained the
4 information lawfully and under no obligation of confidentiality to the Designating Party. Any use
5 of Protected Material at trial shall be governed by a separate agreement or order.

6 4. DURATION

7 Even after final disposition of this litigation, the confidentiality obligations imposed by this
8 Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order
9 otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and
10 defenses in this action, with or without prejudice; and (2) final judgment herein after the
11 completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action,
12 including the time limits for filing any motions or applications for extension of time pursuant to
13 applicable law.

14 5. DESIGNATING PROTECTED MATERIAL

15 5.1. Exercise of Restraint and Care in Designating Material for Protection. Each Party or
16 Non-Party that designates information or items for protection under this Order must take care to
17 limit any such designation to specific material that qualifies under the appropriate standards. The
18 Designating Party must designate for protection only those parts of material, documents, items, or
19 oral or written communications that qualify – so that other portions of the material, documents,
20 items, or communications for which protection is not warranted are not swept unjustifiably within
21 the ambit of this Order.

22 Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown
23 to be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily
24 encumber or retard the case development process or to impose unnecessary expenses and burdens
25 on other parties) expose the Designating Party to sanctions.

26 If it comes to a Designating Party's attention that information or items that it designated for
27 protection do not qualify for protection, that Designating Party must promptly notify all other
28 Parties that it is withdrawing the mistaken designation.

1 5.2. Manner and Timing of Designations. Except as otherwise provided in this Order
 2 (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered,
 3 Disclosure or Discovery Material that qualifies for protection under this Order must be clearly so
 4 designated before the material is disclosed or produced.

5 Designation in conformity with this Order requires:

6 (a) for information in documentary form (e.g., paper or electronic documents,
 7 but excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing
 8 Party affix the legend “CONFIDENTIAL” to each page that contains protected material. If only a
 9 portion or portions of the material on a page qualifies for protection, the Producing Party also must
 10 clearly identify the protected portion(s) (e.g., by making appropriate markings in the margins).

11 A Party or Non-Party that makes original documents or materials available for inspection
 12 need not designate them for protection until after the inspecting Party has indicated which material
 13 it would like copied and produced. During the inspection and before the designation, all of the
 14 material made available for inspection shall be deemed “CONFIDENTIAL.” After the inspecting
 15 Party has identified the documents it wants copied and produced, the Producing Party must
 16 determine which documents, or portions thereof, qualify for protection under this Order. Then,
 17 before producing the specified documents, the Producing Party must affix the “CONFIDENTIAL”
 18 legend to each page that contains Protected Material. If only a portion or portions of the material on
 19 a page qualifies for protection, the Producing Party also must clearly identify the protected
 20 portion(s) (e.g., by making appropriate markings in the margins).

21 (b) for testimony given in deposition or in other pretrial or trial proceedings, that
 22 the Designating Party identify on the record, before the close of the deposition, hearing, or other
 23 proceeding, all protected testimony.

24 (c) for information produced in some form other than documentary and for any
 25 other tangible items, that the Producing Party affix in a prominent place on the exterior of the
 26 container or containers in which the information or item is stored the legend “CONFIDENTIAL.”
 27 If only a portion or portions of the information or item warrant protection, the Producing Party, to
 28 the extent practicable, shall identify the protected portion(s).

1 5.3. Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to
 2 designate qualified information or items does not, standing alone, waive the Designating Party's
 3 right to secure protection under this Order for such material. Upon timely correction of a
 4 designation, the Receiving Party must make reasonable efforts to assure that the material is treated
 5 in accordance with the provisions of this Order.

6 6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

7 6.1. Timing of Challenges. Any Party or Non-Party may challenge a designation of
 8 confidentiality at any time. Unless a prompt challenge to a Designating Party's confidentiality
 9 designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic
 10 burdens, or a significant disruption or delay of the litigation, a Party does not waive its right to
 11 challenge a confidentiality designation by electing not to mount a challenge promptly after the
 12 original designation is disclosed.

13 6.2. Meet and Confer. The Challenging Party shall initiate the dispute resolution process
 14 by providing written notice of each designation it is challenging and describing the basis for each
 15 challenge. To avoid ambiguity as to whether a challenge has been made, the written notice must
 16 recite that the challenge to confidentiality is being made in accordance with this specific paragraph
 17 of the Protective Order. The parties shall attempt to resolve each challenge in good faith and must
 18 begin the process by conferring directly (in voice to voice dialogue; other forms of communication
 19 are not sufficient) within 14 days of the date of service of notice. In conferring, the Challenging
 20 Party must explain the basis for its belief that the confidentiality designation was not proper and
 21 must give the Designating Party an opportunity to review the designated material, to reconsider the
 22 circumstances, and, if no change in designation is offered, to explain the basis for the chosen
 23 designation. A Challenging Party may proceed to the next stage of the challenge process only if it
 24 has engaged in this meet and confer process first or establishes that the Designating Party is
 25 unwilling to participate in the meet and confer process in a timely manner.

26 6.3. Judicial Intervention. If the Parties cannot resolve a challenge without court
 27 intervention, the Designating Party shall file and serve a motion to retain confidentiality under
 28 Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5 and General Order 62, if

1 applicable) within 21 days of the initial notice of challenge or within 14 days of the parties
 2 agreeing that the meet and confer process will not resolve their dispute, whichever is earlier. Each
 3 such motion must be accompanied by a competent declaration affirming that the movant has
 4 complied with the meet and confer requirements imposed in the preceding paragraph. Failure by
 5 the Designating Party to make such a motion including the required declaration within 21 days (or
 6 14 days, if applicable) shall automatically waive the confidentiality designation for each challenged
 7 designation. In addition, the Challenging Party may file a motion challenging a confidentiality
 8 designation at any time if there is good cause for doing so, including a challenge to the designation
 9 of a deposition transcript or any portions thereof. Any motion brought pursuant to this provision
 10 must be accompanied by a competent declaration affirming that the movant has complied with the
 11 meet and confer requirements imposed by the preceding paragraph.

12 The burden of persuasion in any such challenge proceeding shall be on the Designating
 13 Party. Frivolous challenges, and those made for an improper purpose (e.g., to harass or impose
 14 unnecessary expenses and burdens on other parties) may expose the Challenging Party to sanctions.
 15 Unless the Designating Party has waived the confidentiality designation by failing to file a motion
 16 to retain confidentiality as described above, all parties shall continue to afford the material in
 17 question the level of protection to which it is entitled under the Producing Party's designation until
 18 the court rules on the challenge.

19 7. ACCESS TO AND USE OF PROTECTED MATERIAL

20 7.1. Basic Principles. A Receiving Party may use Protected Material that is disclosed or
 21 produced by another Party or by a Non-Party in connection with this case only for prosecuting,
 22 defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to
 23 the categories of persons and under the conditions described in this Order. When the litigation has
 24 been terminated, a Receiving Party must comply with the provisions of section 13 below (FINAL
 25 DISPOSITION).

26 Protected Material must be stored and maintained by a Receiving Party at a location and in
 27 a secure manner that ensures that access is limited to the persons authorized under this Order.

28 7.2. Disclosure of "CONFIDENTIAL" Information or Items. Unless otherwise ordered

1 by the court or permitted in writing by the Designating Party, a Receiving Party may disclose any
2 information or item designated “CONFIDENTIAL” only to:

10 (c) Experts (as defined in this Order) of the Receiving Party to whom disclosure
11 is reasonably necessary for this litigation and who have signed the “Acknowledgment and
12 Agreement to Be Bound” (Exhibit A);

13 (d) the court and its personnel;

14 (e) court reporters and their staff, professional jury or trial consultants, mock
15 jurors, and Professional Vendors to whom disclosure is reasonably necessary for this litigation and
16 who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

23 (g) the author or recipient of a document containing the information or a
24 custodian or other person who otherwise possessed or knew the information.

25 8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION

27 If a Party is served with a subpoena or a court order issued in other litigation that compels
28 disclosure of any information or items designated in this action as “CONFIDENTIAL,” that Party

1 must:

2 (a) promptly notify in writing the Designating Party. Such notification shall
3 include a copy of the subpoena or court order;

4 (b) promptly notify in writing the party who caused the subpoena or order to
5 issue in the other litigation that some or all of the material covered by the subpoena or order is
6 subject to this Protective Order. Such notification shall include a copy of this Stipulated Protective
7 Order; and

8 (c) cooperate with respect to all reasonable procedures sought to be pursued by
9 the Designating Party whose Protected Material may be affected.

10 If the Designating Party timely seeks a protective order, the Party served with the subpoena
11 or court order shall not produce any information designated in this action as “CONFIDENTIAL”
12 before a determination by the court from which the subpoena or order issued, unless the Party has
13 obtained the Designating Party’s permission. The Designating Party shall bear the burden and
14 expense of seeking protection in that court of its confidential material – and nothing in these
15 provisions should be construed as authorizing or encouraging a Receiving Party in this action to
16 disobey a lawful directive from another court.

17 9. A NON-PARTY’S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS
18 LITIGATION

19 (a) The terms of this Order are applicable to information produced by a Non-
20 Party in this action and designated as “CONFIDENTIAL.” Such information produced by Non-
21 Parties in connection with this litigation is protected by the remedies and relief provided by this
22 Order. Nothing in these provisions should be construed as prohibiting a Non-Party from seeking
23 additional protections.

24 (b) In the event that a Party is required, by a valid discovery request, to produce
25 a Non-Party’s confidential information in its possession, and the Party is subject to an agreement
26 with the Non-Party not to produce the Non-Party’s confidential information, then the Party shall:

27 (1) promptly notify in writing the Requesting Party and the Non-Party
28 that some or all of the information requested is subject to a confidentiality agreement with a Non-

1 Party;

2 (2) promptly provide the Non-Party with a copy of the Stipulated
 3 Protective Order in this litigation, the relevant discovery request(s), and a reasonably specific
 4 description of the information requested; and
 5 (3) make the information requested available for inspection by the Non-
 6 Party.

7 (c) If the Non-Party fails to object or seek a protective order from this court
 8 within 14 days of receiving the notice and accompanying information, the Receiving Party may
 9 produce the Non-Party's confidential information responsive to the discovery request. If the Non-
 10 Party timely seeks a protective order, the Receiving Party shall not produce any information in its
 11 possession or control that is subject to the confidentiality agreement with the Non-Party before a
 12 determination by the court.¹ Absent a court order to the contrary, the Non-Party shall bear the
 13 burden and expense of seeking protection in this court of its Protected Material.

14 10. **UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

15 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected
 16 Material to any person or in any circumstance not authorized under this Stipulated Protective
 17 Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the
 18 unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected
 19 Material, (c) inform the person or persons to whom unauthorized disclosures were made of all the
 20 terms of this Order, and (d) request such person or persons to execute the "Acknowledgment and
 21 Agreement to Be Bound" that is attached hereto as Exhibit A.

22 11. **INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED
 MATERIAL**

23 When a Producing Party gives notice to Receiving Parties that certain inadvertently
 24 produced material is subject to a claim of privilege or other protection, the obligations of the
 25 Receiving Parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision
 26 is not intended to modify whatever procedure may be established in an e-discovery order that
 27

28 ¹ The purpose of this provision is to alert the interested parties to the existence of confidentiality rights of a Non-Party
 and to afford the Non-Party an opportunity to protect its confidentiality interests in this court.

1 provides for production without prior privilege review. Pursuant to Federal Rule of Evidence
 2 502(d) and (e), insofar as the parties reach an agreement on the effect of disclosure of a
 3 communication or information covered by the attorney-client privilege or work product protection,
 4 the parties may incorporate their agreement in the stipulated protective order submitted to the
 5 court.

6 12. MISCELLANEOUS

7 12.1. Right to Further Relief. Nothing in this Order abridges the right of any person to
 8 seek its modification by the court in the future.

9 12.2. Right to Assert Other Objections. By stipulating to the entry of this Protective Order
 10 no Party waives any right it otherwise would have to object to disclosing or producing any
 11 information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no
 12 Party waives any right to object on any ground to use in evidence of any of the material covered by
 13 this Protective Order.

14 12.3. Filing Protected Material. Without written permission from the Designating Party or
 15 a court order secured after appropriate notice to all interested persons, a Party may not file in the
 16 public record in this action any Protected Material. A Party that seeks to file under seal any
 17 Protected Material must comply with Civil Local Rule 79-5 and General Order 62. Protected
 18 Material may only be filed under seal pursuant to a court order authorizing the sealing of the
 19 specific Protected Material at issue. Pursuant to Civil Local Rule 79-5 and General Order 62, a
 20 sealing order will issue only upon a request establishing that the Protected Material at issue is
 21 privileged, protectable as a trade secret, or otherwise entitled to protection under the law. If a
 22 Receiving Party's request to file Protected Material under seal pursuant to Civil Local Rule 79-5(d)
 23 and General Order 62 is denied by the court, then the Receiving Party may file the information in
 24 the public record pursuant to Civil Local Rule 79-5(e) unless otherwise instructed by the court.

25 13. FINAL DISPOSITION

26 Within 60 days after the final disposition of this action, as defined in paragraph 4, each
 27 Receiving Party must return all Protected Material to the Producing Party or destroy such material.
 28 As used in this subdivision, "all Protected Material" includes all copies, abstracts, compilations,

1 summaries, and any other format reproducing or capturing any of the Protected Material. Whether
2 the Protected Material is returned or destroyed, the Receiving Party must submit a written
3 certification to the Producing Party (and, if not the same person or entity, to the Designating Party)
4 by the 60 day deadline that (1) identifies (by category, where appropriate) all the Protected
5 Material that was returned or destroyed and (2) affirms that the Receiving Party has not retained
6 any copies, abstracts, compilations, summaries or any other format reproducing or capturing any of
7 the Protected Material. Notwithstanding this provision, Counsel are entitled to retain an archival
8 copy of all pleadings, motion papers, trial, deposition, and hearing transcripts, legal memoranda,
9 correspondence, deposition and trial exhibits, expert reports, attorney work product, and consultant
10 and expert work product, even if such materials contain Protected Material. Any such archival
11 copies that contain or constitute Protected Material remain subject to this Protective Order as set
12 forth in Section 4 (DURATION).

IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

w: /s/ ERICA K. ROCUSH

Erica K. Rocush

Krystal N. LoPilato

Attorneys for Defendant
IAP WORLDWIDE SERVICES, INC.
(erroneously sued herein as "IAP World
Services")

LAW OFFICES OF JOSEPH L. ALIOTO AND ANGELA ALIOTO

By: /s/ STEVEN L. ROBINSON

Angela M. Alioto

Steven L. Robinson

Attorneys for Plaintiff
TYRONE PENNIX

26 PURSUANT TO STIPULATION, IT IS SO ORDERED.

28 | DATED: December 5, 2012


Hon. Paul S. Grewal
United States Magistrate Judge

EXHIBIT A

ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, _____ [print or type full name], of _____
[print or type full address], declare under penalty of perjury that I have read in its entirety and
understand the Stipulated Protective Order that was issued by the United States District Court for
the Northern District of California on [date] in the case of *Tyrone Pennix v. IAP World Services*,
Case No. CV 12-03520 LHK. I agree to comply with and to be bound by all the terms of this
Stipulated Protective Order and I understand and acknowledge that failure to so comply could
expose me to sanctions and punishment in the nature of contempt. I solemnly promise that I will
not disclose in any manner any information or item that is subject to this Stipulated Protective
Order to any person or entity except in strict compliance with the provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the Northern District of California for the purpose of enforcing the terms of this Stipulated Protective Order, even if such enforcement proceedings occur after termination of this action.

I hereby appoint _____ [print or type full name] of
_____ [print or type full address and telephone number]
as my California agent for service of process in connection with this action or any proceedings
related to enforcement of this Stipulated Protective Order.

20 | Date: _____

21 | City and State where sworn and signed: _____

22 Printed name: _____
23 [printed name]

24 Signature: _____
[signature]

26 | 13739344.2 (OGLETREE)